

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:

David M. Bargeron, et al.

Serial No.: Filed:

10/758,370

January 15, 2004

For:

IMAGE-BASED DOCUMENT INDEXING AND RETRIEVAL

Art Unit:

Unknown

Examiner:

Unknown

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, Virginia 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.:			
Filing Date:	<del></del>		

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) \_\_\_Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
  - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
    - (a) Within 3 months of the filing date or date of entry into the National Stage.
    - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

(c)	After the period set forth in 37 C.F.R. $1.97(b)$ but before the mailing date of either a final action or a notice of allowance.

- The required certification is given below, or
- (2) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) \_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
- (d) \_\_\_After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee.

  Petition hereby is made for consideration of this Statement and the required certification is indicated below.
  - (1) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
  - (2) \_\_ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.
- 4. Certification (if applicable)
  - (a) \_\_\_\_ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
  - (b) \_\_The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
- The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted.

Jeffrey R. Sadlowski Reg. No. 47,914

24<sup>TH</sup> Floor, National City Center 1900 East 9<sup>th</sup> Street Cleveland, Ohio 44114 (216) 696-8730

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, Virginia 22313-1450.

Date: 5-11-2004

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Receipt trate: 05/13/2004

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Sheet 1

Examiner Signature

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademerk Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

of 2

rework Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number of Complete if Known Application Number 10/758,370 Filing Date 01/15/04 First Named Inventor David M. Bargeron Art Unit Unknown Examiner Name Unknown Attomey Docket Number MS306435 1/MSFTP504US

Considered

09/29/2008

				DOCUMENTS	
Examiner Initials*	No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 6,587,217	07-01-2003	Lahey, et al.	
		<sup>US-</sup> 6,546,385	04-08-2003	Mao, et al.	
		US- 5,109,433	04-28-1992	Notenboom	
		<sup>US-</sup> 4,955,066	09-04-1990	Notenboom	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Pesseges Or Relevent Figures Appear	П	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)				T°	

/Giovanna Colan/ \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and n EXAMINE: mail a fine-fine's dominates, whether or not callon's in conformance with MFLP 609. Draw line through distoin in conformance and UNITY of the Conformanc 2 See Kinds Codes of

Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain e benefit by the public which is to file (and by the USPTO to process) en application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the emount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/758,370	
	INFORMATION DISCLOSURE			Filing Date	01/15/04	
STATEMENT BY APPLICANT (Use as many sheets as necessary)			PPLICANT	First Named Inventor	David M. Bargeron	
			ecessarul	Art Unit	Unknown	
			,	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	MS306435.1/MSFTP504US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		TUNG-SHOU CHEN, et al., A New Search Engine for Chinese Document Image Retrieval Based on Chinese Character Segmentation Features, International Journal of Computer Processing of Oriental Languages, 2002, pp. 417-431, Vol. 14-No. 4.	
		DAVID DOERMANN, The Indexing and Retrieval of Document Images: A Survey, Computer Vision and Image Understanding: CVIU, 1998, pp.1-37.	
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		JONATHAN J. HULL, et al., Document Image Similarity and Equivalence Detection, ICDAR'97, 1997, pp.308-312, Vol. 1, Ulm, Germany.	
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		NEVIN HEINTZE, Scalable Document Fingerprinting(Extended Abstract), Proceedings of the Second USENIX Workshop on Electronic Commerce, 1996, pp. 1-10.	
		NARAYANAN SHIVAKUMAR, et al., The SCAM Approach to Copy Detection in Digital Libraries, D-Lib Magazine, 1995, 9 pages.	
		SERGEY BRIN, et al., Copy Detection Mechanisms for Digital Documents, Proceedings of the ACM SIGMOD Annual Conference, 1995, pp. 1-21.	
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Examiner Signature	/Giovanna Colan/	Date Considered	09/29/2008
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